

FEE MANAGEMENT



Professional Fee & Distribution Agreement Management

Framesoft Fee Management (FFM) is an application for professional distribution agreement & fee payment management. FFM provides full control of commissions and fees, ensuring that organizations have the information required to manage payments & receipts across all financial instruments, entities & trading partners. As a result, your corporation can prevent itself from paying excessive or duplicate fees, negotiate improved terms, and leverage best execution across your trading relationships.

Furthermore, the improvement in control & transparency reduces risk & increases compliance.

FFM's fee management engine for recurring & non-recurring client fees provides the following key benefits:

Distribution Agreement & Product Data Management

- **Master & Supplement Distribution Agreement Management (MDA & SDA)**

covering contract request, generation, approval & delivery

- **Structured data** capturing any distribution agreement type
- **Upload, Entry and**



Maintenance of Fee Management related data such as

- Prices & Positions
- Custody Balances & Balance Requests
- Reserves
- Inventories

- **Product Data Setup & Management**

Flexible & dynamic Fee Calculation & Approvals

- **Rule based (Trailer & Upfront) Fee Calculation**

- Standard
- Daily Accruing
- Average Price
- Interval Rate
- Exotic
- And others

- **Distributor / Product based Fee Approval, Review & Sign-Off workflows**

- **Product centric approval** to analyze & review fee payments

- Avoid duplicate fee payments
- Delta Checks
- 4-Eyes-Approvals

- **Flexible configuration & adjustment** to business and distributor's needs

- **Customer centric Fee specific workflow** configuration

- **Four-eye principle** enforcement (if required)

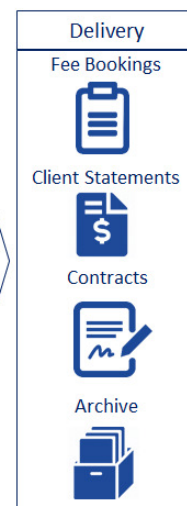
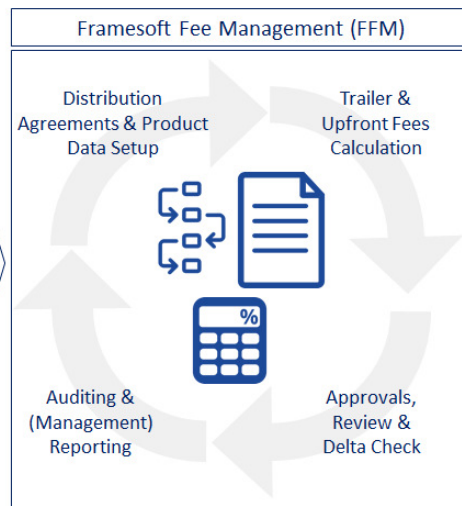
- **Access restrictions** due to Role assignment

- Usage of FFM **Web Module**

- Configuration and management of „**Incoming Fees**“

- **Distributor Break-Down Analysis** to analyze product distribution between custodians & distributors

- **FX-Rate functionality** for provision of fees in the target currencies



Configurable Delivery Channels

- **Interface to Accounting System** for sending booking instructions & upload of booking dates & references
- **Netting Queue Delivery** of (encrypted) Distribution Agreements, Client Statements &

Balance Requests via multiple channels such as e.g., e-Mail or interface.

Archiving, Reporting & Audit Capacities

- **Integration with any Document Management Solution** such as e.g., Framesoft Document Management (FDM) to store all related & generated documents

- Access to **document history / document versions**

- Extended **Calculation Fee Reporting (e.g., Client Statements) & Analysis** functionalities

- Integration of **Framesoft Data Insight (FDI)** for Data Analysis & Reporting purposes

- **MS Excel exports** from all FFM overview tables / screens

- Complete **audit trail**

Get in contact with us for an online introduction and demonstration of FFM at contact@framesoft.com or visit our website at www.framesoft.com

COMPANY PROFILE

Framesoft AG Software Applications



Framesoft offers the following fully integrated solution portfolio

- **Framesoft Contract Repository (FCR)**
- **Framesoft Document Management (FDM)**
- **Framesoft Structured Products (FSP)**
- **Framesoft Fee Management (FFM)**
- **Framesoft PRIIPS KID Generator (FPG)**
- **Framesoft OTC Platform (FOP)**
- **Framesoft Confirmation Generator (FCG)**
- **Framesoft Matter Management (FLM)**
- **Framesoft Repo & Securities Lending (FRS)**
- **Framesoft Document Generator (FDG)**
- **Framesoft Resource Management (FRM)**
- **Framesoft Secure Online Data Room (FDM Platform)**
- **Framesoft Online Negotiation (FON)**
- **Framesoft Protocol Platform (FPP)**
- **Framesoft Data Insight (FDI)**
- **Framesoft Document Intelligence (FAI)**

Framesoft's product & services portfolio is strongly focusing on the financial services industry and covers the complete life cycle of a software solution, ranging from supporting the early conceptual application design stages, to the delivery and integration of our applications into the client's infrastructure.

Framesoft is also offering application support including

- 1st / 2nd / 3rd level production support
- business and
- user support.

Additionally, Framesoft offers to run its applications as ASP service based on its advanced cloud infrastructure in Switzerland.

Framesoft was founded in 1996 with the goal to create technology solutions for innovative, fast-growing areas within the financial services industry. Framesoft solutions are built on a foundation of core modules (Frameworks) enabling rapid application development mainly based on configuration to meet the ever-evolving needs in these markets.

Framesoft's mission is to maximize the benefits of external technology provision for our clients, by applying the Framesoft Framework strategy.

Framesoft's unique selling proposition is the support of complete value chains including areas typically conducted by means of unstructured tools such as paper, e-Mail, facsimile or telephone.

Customers using Framesoft solutions

- achieve a high degree of **Straight through Processing (STP)**,
- avoid typical **operational risks** associated with manual interventions,
- gain **control** over complex business processes,
- increase Business **Process Efficiency & Transparency**,
- cut **Time-to-Market**,
- reduce **Legal & Credit Risk**

Framesoft's headquarter is located in Zug, Switzerland and Framesoft runs subsidiaries with offices in

- Europe
 - ✓ Switzerland
 - ✓ Germany
 - ✓ United Kingdom
- North America
- Asia

Get in contact with us at contact@framesoft.com or visit our website at www.framesoft.com.