

# FEE MANAGEMENT



## Professional Fee & Distribution Agreement Management

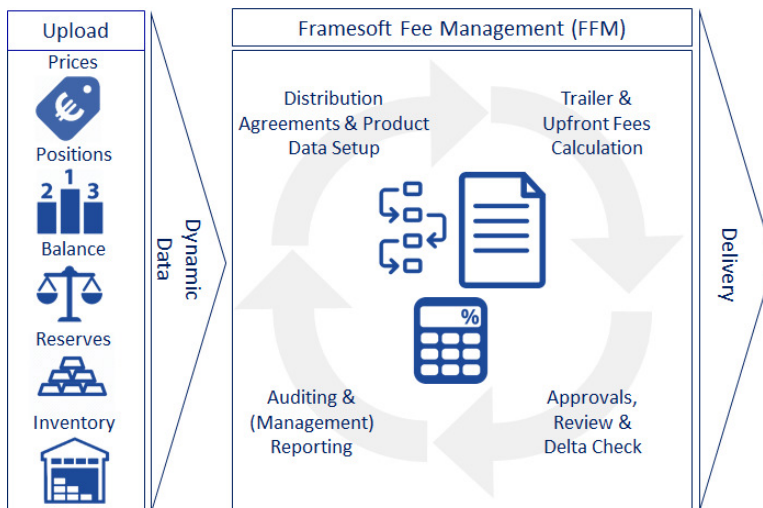
Framesoft Fee Management (FFM) is an application for professional distribution agreement & fee payment management. FFM provides full control of commissions and fees, ensuring that organizations have the information required to manage payments & receipts across all financial instruments, entities & trading partners. As a result, your corporation can prevent itself from paying excessive or duplicate fees, negotiate improved terms, and leverage best execution across your trading relationships.

Furthermore, the improvement in control & transparency reduces risk & increases compliance.

FFM's fee management engine for recurring & non-recurring client fees provides the following key benefits:

### Distribution Agreement & Product Data Management

- Management of Master & Supplement Distribution Agreements (MDA & SDA) covering contract request, generation, approval and delivery



- Structured data capturing for any distribution agreement type
- Upload, Entry & Maintenance of Fee Management related data such as
  - Prices & Positions
  - Custody Balances & Balance Requests
  - Reserves
  - Inventories

### Flexible & dynamic Fee Calculation & Approvals

- Rule based (Trailer & Upfront) Fee Calculation
  - Standard
  - Daily Accruing
  - Average Price
  - Interval Rate
  - Exotic
  - etc.
- Distributor / Product based Fee Approval, Review & Sign-Off workflows
- Product centric approval to analyze & review fee payments

- Avoid duplicate fee payments
- Delta Checks
- 4-Eyes-Approvals
- Flexible configuration & adjustment to business's & distributor's needs
- Customer centric Fee specific workflow configuration
- Four-eye principle enforcement (if required)
- Access restrictions due to Role assignment
- Configuration of FFM Web Access Module as Add-On
- Optional configuration of „Incoming Fees“
- Distributor Break-Down Analysis to analyze product distribution between custodians & distributors
- FX-Rate functionality for provision of fees in the target currencies

### Delivery

- Fee Bookings
- Client Statements
- Contracts
- Archive

Configurable Delivery Channels Interface to Accounting System for sending booking instructions & upload of booking dates & references

Netting Queue Delivery of (encrypted) Distribution Agreements, Client Statements & Balance Requests via e-Mail, etc.

### Archiving & Audit Capacities

- Integration with any Document Management System such as e.g. Framesoft Document Management (FDM) to store all related & generated documents
- Access to document history / document versions
- Extended Calculation Fee Reporting (e.g. Client Statements) & Analysis functionalities
- Framesoft Data Insight (FDI) for Data Analysis & Reporting purposes (add-on)
- MS Excel exports from all FFM overview tables / screens
- Complete audit trail

Get in contact with us for a web based demonstration of FFM at [contact@framesoft.com](mailto:contact@framesoft.com) or visit our website at [www.framesoft.com](http://www.framesoft.com)

# COMPANY PROFILE

Framesoft AG Software Applications



Framesoft offers the following fully integrated solution portfolio

- **Framesoft Structured Products (FSP)**
- **Framesoft PRIIPS KID Generator (FPG)**
- **Framesoft OTC Platform (FOP)**
- **Framesoft Contract Repository (FCR)**
- **Framesoft Confirmation Generator (FCG)**
- **Framesoft Legal & Compliance Matter Management (FLM)**
- **Framesoft Document Management (FDM)**
- **Framesoft Repo & Securities Lending (FRS)**
- **Framesoft Fee Management (FFM)**
- **Framesoft Document Generator (FDG)**
- **Framesoft Resource Management (FRM)**
- **Framesoft Secure Online Data Room (FDM Platform)**
- **Framesoft Online Negotiation (FON) for (Master) Agreements**
- **Framesoft Protocol Platform (FPP)**
- **Framesoft Data Insight (FDI)**

Framesoft's product & services portfolio is strongly focusing on the financial services industry and covers the complete life cycle of a software solution, ranging from supporting the early conceptual application design stages, to the delivery and integration of the application into the client's infrastructure.

Framesoft is also capable offering application support requested by a customer such as production support as well as business and user support. Additionally, Framesoft offers to run its applications as ASP service based on its advanced cloud infrastructure in Switzerland.

Framesoft was founded in 1996 with the goal to create technology solutions for innovative, fast-growing areas within the financial services industry. Framesoft solutions are built on a foundation of core modules (Frameworks) enabling rapid application development mainly based on configuration to meet the ever-evolving needs in these markets.

Framesoft's mission is to maximize the benefits of external technology provision for our clients, by applying the Framesoft Framework strategy.

Framesoft's unique selling proposition is the support of complete value chains including areas typically conducted by means of unstructured tools such as paper, e-Mail, facsimile or telephone.

Customers using Framesoft solutions

- achieve a high degree of **Straight through Processing (STP)**,
- avoid typical **operational risks** associated with manual interventions,
- gain **control** over complex business processes,
- increase Business **Process Efficiency & Transparency**,
- cut **Time-to-Market**,
- reduce **Legal & Credit Risk**

Framesoft's headquarter is located in Zug, Switzerland and Framesoft runs subsidiaries with offices in

- Europe
  - Switzerland (Zug),
  - Germany (Frankfurt and Munich),
  - United Kingdom (London),
- North America (Vancouver) and
- Asia (Fiji)

Get in contact with us at [contact@framesoft.com](mailto:contact@framesoft.com) or visit our website at [www.framesoft.com](http://www.framesoft.com).