

# LEGAL MATTER MANAGEMENT

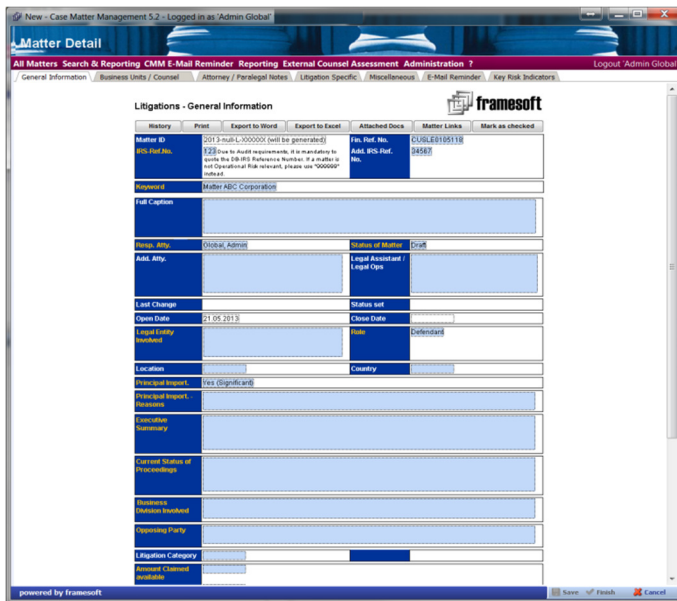


## Case Matter Management Platform for Legal & Compliance

The Framesoft Legal Matter Management (FLM) is a powerful case matter management & tracking tool designed specifically for Legal & Compliance departments of globally operating organizations or law firms. With FLM attorneys & support staff have direct, immediate access to the information needed to manage all matters on a day-to-day basis.

FLM can handle any types of Legal & Compliance Matters, e.g. such as

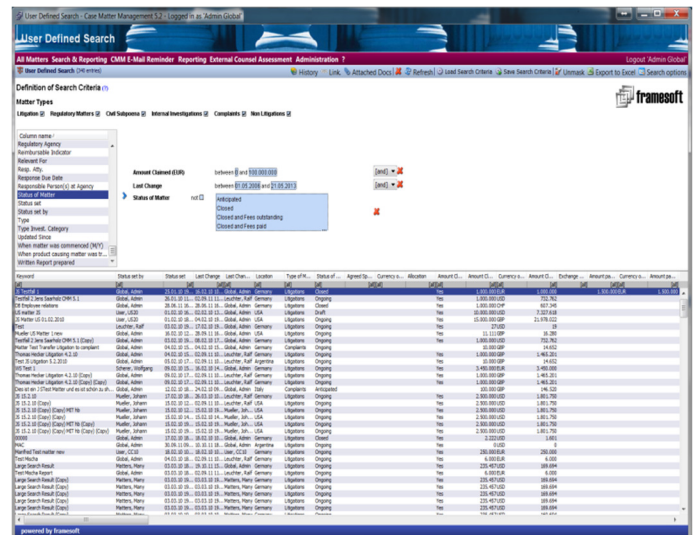
- (Non-) Litigations
- Regulatory Matters
- (Civil) Subpoenas
- Internal Investigations
- Complaints
- Compliance specific types (such as audits, regulatory affairs, etc.)
- any other matter types.



### Matter Management

- **Global / Regional / Divisional Setup of Matter / Issue Type** – each matter type consists of a core setup for corporate requirements as well as freely configurable regional / divisional setup
- **Role based assignment & presentation** of matters
- **Tab folder-based** presentation of related information
- **Tool tip-based** support in entering relevant information
- **“Matter History”** feature provides a complete change history (audit trail) of the matter
- **MS Word / EXCEL / PDF export** – each matter and its details can be exported to MS Word Excel / PDF file
- **Matter Copying & Transfer** of matter is supported
- **Attached Documents** (Document Management capabilities) – all matter related documentation can be accessed directly

- **Workflow support** for periodical matter reporting & approval
- **Matter Linkage** for managing relations between matters
- Enter **personal notes / comments** for each matter
- **e-Mail Reminder** can be created & send out to selected recipients once or regularly (daily, weekly, monthly, quarterly, immediately)
- **Key Risk Indicators (KRI)** – for each matter a dynamic set of Key Risk Indicators can be selected, allowing the analysis of main risk areas
- Matter related **Budget Planning** versus Consumption
- Financial Matter **Risk Management** supported
- **Tight integration with Framesoft Resource Management (FRM)** for matter / issue related time reporting & e-invoicing for external counsel involved



### Search & Reporting

FLM provides powerful and intuitive user defined search & reporting capabilities:

- FLM supports **periodical matter reporting cycles**
- Definition of **Standard Reports**
- **Most Important Matter Report** (Board Report)
- **Ad-hoc searching & reporting** based on user defined search criteria
- Matter dependent **criteria lists**
- **Export** to Microsoft Excel
- **Direct access** to Matter Detail screens
- **Key Risk Indicator Analysis & drill down** to single matter
- **Matter Grouping** by region, division, subsidiary, etc.
- **Time Series Reporting**
- **External Counsel Assessment** involved in matter
- **Integration with Framesoft Data Insight (FDI)** for an in-depth data analysis & ad-hoc reporting

Get in contact with us for a web based demonstration of FLM at [contact@framesoft.com](mailto:contact@framesoft.com) or visit our website at [www.framesoft.com](http://www.framesoft.com)

# COMPANY PROFILE

Framesoft AG Software Applications



Framesoft offers the following fully integrated solution portfolio

- **Framesoft Structured Products (FSP)**
- **Framesoft PRIIPS KID Generator (FPG)**
- **Framesoft OTC Platform (FOP)**
- **Framesoft Contract Repository (FCR)**
- **Framesoft Confirmation Generator (FCG)**
- **Framesoft Legal & Compliance Matter Management (FLM)**
- **Framesoft Document Management (FDM)**
- **Framesoft Repo & Securities Lending (FRS)**
- **Framesoft Fee Management (FFM)**
- **Framesoft Document Generator (FDG)**
- **Framesoft Resource Management (FRM)**
- **Framesoft Secure Online Data Room (FDM Platform)**
- **Framesoft Online Negotiation (FON) for (Master) Agreements**
- **Framesoft Protocol Platform (FPP)**
- **Framesoft Data Insight (FDI)**

Framesoft's product & services portfolio is strongly focusing on the financial services industry and covers the complete life cycle of a software solution, ranging from supporting the early conceptual application design stages, to the delivery and integration of the application into the client's infrastructure.

Framesoft is also capable offering application support requested by a customer such as production support as well as business and user support. Additionally, Framesoft offers to run its applications as ASP service based on its advanced cloud infrastructure in Switzerland.

Framesoft was founded in 1996 with the goal to create technology solutions for innovative, fast-growing areas within the financial services industry. Framesoft solutions are built on a foundation of core modules (Frameworks) enabling rapid application development mainly based on configuration to meet the ever-evolving needs in these markets.

Framesoft's mission is to maximize the benefits of external technology provision for our clients, by applying the Framesoft Framework strategy.

Framesoft's unique selling proposition is the support of complete value chains including areas typically conducted by means of unstructured tools such as paper, e-Mail, facsimile or telephone.

Customers using Framesoft solutions

- achieve a high degree of **Straight through Processing (STP)**,
- avoid typical **operational risks** associated with manual interventions,
- gain **control** over complex business processes,
- increase Business **Process Efficiency & Transparency**,
- cut **Time-to-Market**,
- reduce **Legal & Credit Risk**

Framesoft's headquarter is located in Zug, Switzerland and Framesoft runs subsidiaries with offices in

- Europe
  - Switzerland (Zug),
  - Germany (Frankfurt and Munich),
  - United Kingdom (London),
- North America (Vancouver) and
- Asia (Fiji)

Get in contact with us at [contact@framesoft.com](mailto:contact@framesoft.com) or visit our website at [www.framesoft.com](http://www.framesoft.com).